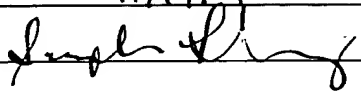


68.2122
#4
2663

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 11/19/01

Siamphone Louankang



PATENT

Attorney Docket No. 24717-708



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application)

PATENT APPLICATION

Inventor(s): Omar C. Baldonado et al.)

Art Unit: 2122

Application No.: 09/960,623)

Examiner: Not Yet Assigned

Filed: 09/20/01)

Title Method And Apparatus For Coordinating Routing)
Parameters Via A Back-Channel Communication)
Medium)

RECEIVED
DEC 05 2001
Group 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
 - ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
 - ☒ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
 - ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
 - ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
 - B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
 - C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 24717-708).

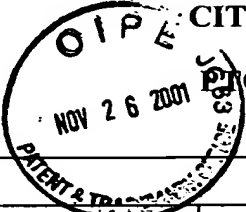
Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

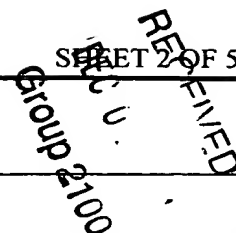
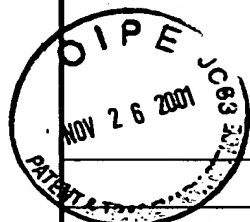
Dated: 11/14/01

By: Kenta Suzuki
Kenta Suzuki, Reg. No. 45,145

650 Page Mill Road
Palo Alto, CA 94304-1050
(650) 493-9300
Customer No. 021971

INFORMATION DISCLOSURE CITATION 		ATTY. DOCKET NO. 24717-708		SERIAL NO. 09/960,623			
		APPLICANT Baldonado, O. et al.					
		FILING DATE 09/20/01		GROUP 2122			
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	4,284,852	08/18/1981	Szybicki et al.	179	18 EA		
	4,345,116	08/17/1982	Ash et al.	179	18 EA		
	4,495,570	01/22/1985	Kitajima et al.	364	200		
	4,594,704	06/10/1986	Ollivier	370	16		
	4,669,113	05/26/1987	Ash et al.	379	221		
	4,704,724	11/03/1987	Krishnan et al.	379	221		
	4,726,017	02/16/1988	Krum et al.	370	85		
	4,748,658	05/31/1988	Gopal et al.	379	221		
	4,788,721	11/29/1988	Krishnan et al.	379	221		
	4,839,798	06/13/1989	Eguchi et al.	364	200		
	4,920,432	04/24/1990	Eggers et al.	360	33.1		
	4,931,941	06/05/1990	Krishnan	364	437		
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 598 969 B1	02/10/1999	Europe	H04L	12/18	<input type="checkbox"/>	<input type="checkbox"/>
	0 977 456 A2	02/02/2000	Europe	H04Q	11/04	<input type="checkbox"/>	<input type="checkbox"/>
	0 982 901 A1	03/01/2000	Europe	H04L	12/56	<input type="checkbox"/>	<input type="checkbox"/>
	0 999 674 A1	05/10/2000	Europe	H04L	12/64	<input type="checkbox"/>	<input type="checkbox"/>
	94/08415	04/14/1994	PCT	H04L	12/66	<input type="checkbox"/>	<input type="checkbox"/>
	99/06913	02/11/1999	PCT	G06F	13/00	<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



INFORMATION DISCLOSURE CITATION PTO-1449	ATTY. DOCKET NO. 24717-708	SERIAL NO. 09/960,623
	APPLICANT Baldonado, O. et al.	
	FILING DATE 09/20/01	GROUP 2122

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	4,939,726	07/03/1990	Flammer et al.	370	94.1	
	4,949,187	08/14/1990	Cohen	358	335	
	4,949,248	08/14/1990	Caro	364	200	
	5,172,413	12/15/1992	Bradley et al.	380	20	
	5,253,341	10/12/1993	Rozmanith et al.	395	200	
	5,287,537	02/15/1994	Newmark et al.	395	800	
	5,291,554	03/01/1994	Morales	380	5	
	5,341,477	08/23/1994	Pitkin et al.	395	200	
	5,406,502	04/11/1995	Haramaty et al.	364	551.01	
	5,414,455	05/09/1995	Hooper et al.	348	7	
	5,442,389	08/15/1995	Blahut et al.	348	7	
	5,442,390	08/15/1995	Hooper et al.	348	7	


FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	99/14907	03/25/1999	PCT	H04L	12/56	<input type="checkbox"/>	<input type="checkbox"/>
	99/14931	03/25/1999	PCT	H04M, H04L	7/00, 29/06	<input type="checkbox"/>	<input type="checkbox"/>
	99/18751	04/15/1999	PCT	H04Q	11/04	<input type="checkbox"/>	<input type="checkbox"/>
	99/30460	06/17/1999	PCT	H04L	12/00	<input type="checkbox"/>	<input type="checkbox"/>
	99/39481	08/05/1999	PCT	H04L, G06F	12/66, 12/56, 13/00, 13/14	<input type="checkbox"/>	<input type="checkbox"/>
	00/04458	01/27/2000	PCT	G06F	17/21, 3/00, 13/00, 17/30	<input type="checkbox"/>	<input type="checkbox"/>

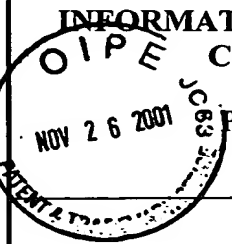
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED

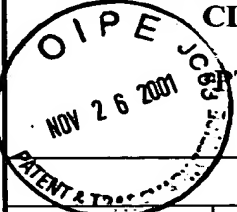
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION 		ATTY. DOCKET NO. 24717-708		SERIAL NO. 09/960,623				
		APPLICANT Baldonado, O. et al.						
		FILING DATE 09/20/01		GROUP 2122				
U.S. PATENT DOCUMENTS								
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE		
	5,442,749	08/15/1995	Northcutt et al.	395	200.09			
	5,452,294	09/19/1995	Natarajan	370	54			
	5,471,622	11/28/1995	Eadline	395	650			
	5,475,615	12/12/1995	Lin	364	514			
	5,477,536	12/19/1995	Picard	370	54			
	5,508,732	04/16/1996	Bottomley et al.	348	7			
	5,515,511	05/07/1996	Nguyen et al.	395	200.2			
	5,519,435	05/21/1996	Anderson	348	8			
	5,521,591	05/28/1996	Arora et al.	340	826			
	5,528,281	06/18/1996	Grady et al.	348	7			
	5,371,532	12/06/1994	Gelman et al.	348	7			
	5,410,343	04/25/1995	Coddington et al.	348	7			
FOREIGN PATENT DOCUMENTS						RECEIVED DEC 03 2001 Group 2100		
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS		TRANSLATION	
							YES	NO
	00/45560	08/03/2000	PCT	H047	29/00		<input type="checkbox"/>	<input type="checkbox"/>
	00/52906	09/08/2000	PCT	H04L	29/06		<input type="checkbox"/>	<input type="checkbox"/>
	00/62489	10/19/2000	PCT	H04L, H04Q	12/56,12/4 6,12/66,11/ 04		<input type="checkbox"/>	<input type="checkbox"/>
	00/72528	11/30/2000	PCT	H04L, G06F	12/56,9/44 5,12/24		<input type="checkbox"/>	<input type="checkbox"/>
	00/79362	12/28/2000	PCT	G06F			<input type="checkbox"/>	<input type="checkbox"/>
	00/79730	12/28/2000	PCT	H04L	12/00	<input type="checkbox"/>	<input type="checkbox"/>	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER				DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION  PTO-1449			ATTY. DOCKET NO. 24717-708		SERIAL NO. 09/960,623		
			APPLICANT Baldonado, O. et al.				
			FILING DATE 09/20/01		GROUP 2122		
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	5,629,930	05/13/1997	Beshai et al.	370	396		
	5,631,897	05/20/1997	Pacheco et al.	370	237		
	5,636,216	06/03/1997	Fox et al.	370	402		
	5,675,741	10/07/1997	Aggarwal et al.	395	200.12		
	5,754,547	05/19/1998	Nakazawa	370	401		
	5,805,594	09/08/1998	Kotchey et al.	370	401		
	5,835,710	11/10/1998	Nagami et al.	395	200.8		
	5,845,091	12/01/1998	Dunne et al.	395	200.7		
	6,108,703	08/22/2000	Leighton et al.	709	226		
	6,006,264	12/21/1999	Colby et al.	709	226		
	6,069,889	05/30/2000	Feldman et al.	370	351		
	6,173,324	01/09/2001	D'Souza	709	224		
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	01/06717	01/25/2001	PCT	H04L	12/56	<input type="checkbox"/>	<input type="checkbox"/>
	01/13585	02/22/2001	PCT	H04L	12/46	<input type="checkbox"/>	<input type="checkbox"/>
	99/14932	03/25/1999	PCT	H04M, H04L	7/00,12/66	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION  PTO-1449			ATTY. DOCKET NO. 24717-708		SERIAL NO. 09/960,623	
			APPLICANT Baldonado, O. et al.			
			FILING DATE 09/20/01		GROUP 2122	
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,142,570	08/25/1992	Chaudhary et al.	379	221	
	6,009,081	12/28/1999	Wheeler et al.	370	255	
	6,130,890	10/10/2000	Leinwand et al.	370	400	
	6,167,052	12/26/2000	McNeil et al.	370	399	
	6,185,598	02/06/2001	Farber et al.	709	200	
FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
						<input type="checkbox"/> YES <input type="checkbox"/> NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER				DATE CONSIDERED		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.